



AMG Operations Member Meeting

Wednesday, June 27, 2018 | 8:30AM – 5:00PM | SIFMA Conference Center | NYC

8:30AM – 9:00AM

Registration & Continental Breakfast

9:00AM – 9:15AM

Opening Remarks, AMG Housekeeping

9:15AM – 10:00AM

GDPR

It's been a month since implementation. What have we learned? Although GDPR was implemented for the May 25th effective date, it doesn't end there. What do asset managers need to know about the on-going effort to comply with GDPR requirements, and how do firms govern access and entitlements to data and systems?

PARTICIPANTS

Lisa Bloomberg
Principal Director
Accenture

Daniel Maloney
Senior Manager
Accenture

10:00AM – 10:50AM

FIX Protocol for Post Trade

Most firms have invested in and implemented FIX Protocol for the trading environment, enabling them to minimize trading costs, and increase efficiency and transparency. FIX is now experiencing rapid expansion into the post-trade space, supporting straight-through processing (STP) from indications of interest (IOI) to allocations and confirmations. This panel will give a snapshot of the current landscape of FIX Protocol and discuss the current developments underway to further extend FIX into the post trade FX space; track post transaction payment status via FIX; and communicate clearing and settlement status via FIX.

MODERATOR

Courtney Doyle McGuinn
Operations Director, FIX Trading Community
Jordan & Jordan

PANELISTS

Tom Damico
Senior Vice
President
SS&C
Technologies

Ignatius John
President
Alpha Omega
Financial Systems

Steven Kelly
Product Manager,
Trade Operations
Infrastructure
NEX Markets

Louis J. Rosato, III
Director, Investment
Operations Group
BlackRock

Lisa Taikitsadaporn
Managing Partner
Brook Path Partners

10:50AM – 11:00AM

Networking Break

11:00AM – 11:30AM

ETD-OTC Cleared Joint Industry Initiative Update

An Update on Industry Initiatives.

MODERATOR

Amy Caruso
Chief Commercial Officer
DTCC

SPEAKERS

John Montgomery
Senior Specialist, Global
Collateral
Vanguard

Robert Taffet
Chief Operating Officer and
Managing Partner
Taffet Consulting

11:30AM – 12:00PM

Single Security Update

The industry has worked hard in order to develop an efficient model for the exchange of the existing Gold PC securities to the new UMBS. This session will discuss what your firm should know at this point regarding the Single Security, and how you should be preparing for the 2019 transition to the UMBS.

SPEAKER

Mark D. Hanson
Senior Vice President, Securitization
Freddie Mac

12:00PM – 12:40PM

Networking Lunch

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12:40PM - 1:15PM

Staffing & Recruiting Trends

What are the current trends a recruiter sees for the job skills that are most in demand? How are firms attracting talent in an environment where nothing is certain except constant change?

MODERATOR

Tim Cameron

Head of the Asset Management Group
SIFMA

SPEAKER

George R. Wilbanks

Managing Partner
Wilbanks Partners LLC

1:15PM - 2:15PM

Robotic Process Automation (RPA)

How are firms currently utilizing RPA to increase process efficiency? What types of functions and tasks is RPA suitable for? What impact has RPA had on your firm so far? Panelists will discuss these questions and address what asset management firms should consider when implementing RPA.

MODERATOR

Dayle Scher

Senior Analyst
TABB Group

PANELISTS

Michael Demissie

Senior Vice President
State Street

Michael Heffner

*Vice President, Global
Financial Services and
Insurance Industry Lead*
Appian

Christopher Fiorelli

Executive Director
JPMorgan Asset
Management

Gregory Turner

Head of Investment Operations
Legal & General Investment
Management America

Joseph Haddock

*Managing Director,
Operational Risk*
Annaly Capital
Management, Inc.

2:15PM - 2:30PM

Networking Break

2:30PM - 3:30PM

Bowling Green Room

Roundtable Discussion: FX Clearing

With increased regulation in the uncleared margin space, as well as capital model implementations and the new proposed SEF rules the industry has seen an increased appetite for FX clearing. Buy side firms are now preparing for clearing of NDFs and CCPs have enhanced clearing offerings to cash settled products and more complex FXO's. This roundtable will discuss the evolution of OTC FX clearing from 2009 to 2018, addressing current issues and talking about the future of OTC FX clearing.

SPEAKERS

William Black

US Head of OTC Clearing
Credit Suisse

Laurence Jones

Director
Traiana

Ankeet Dedhia

*Head of Product &
Regional Head, FX,
Americas*
London Stock Exchange
Group (LSEG)

Deepa Josyula

Senior Director, OTC Products
CME Group

Timothy Levandoski

Head of FX, Americas
Eurex Clearing

3:30PM - 5:00PM

Networking Reception

SIFMA AMG Mission Statement

The Asset Management Group (AMG) is a community of individuals from leading asset management firms brought together by a common goal - to promote the development of liquid, efficient, open and safe capital markets. Asset managers of all sizes come together through SIFMA AMG to achieve this goal by developing views on public and regulatory policy and creating industry best practices. This collaboration contributes to the creation of a library of knowledge that allows our organization to effectively advocate on behalf of our Members, which in turn better positions asset management firms in the face of widespread regulatory change.

AMG's Operations Committees are dedicated to facilitating collaboration among the buy-side Operations community. AMG Operations brings together subject matter experts to discuss and develop practical solutions to highly topical operational challenges. AMG Operations provides thought leadership and guidance on pertinent industry issues and creates a premier venue for operations professionals to develop and share best practices in order to drive industry change.